FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB API | PROVAL |
|-------------|----------|
| OMB Number: | 3235-028 |

5. Relationship of Reporting Person(s) to Issuer

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol

| OIVID APPRI | UVAL |
|-----------------------|-----------|
| OMB Number: | 3235-0287 |
| Estimated average bur | den |
| hours per response: | 0.5 |
| | |

| GLASI | | GWG Holdings, Inc. [GWGH] | | | | | | | | | | 1 ' | еск ан арр <mark>Х</mark> Dired | , | | 10% O | wner | | | | | |
|---|--|-----------------------------|--|--|---|---|---|------|--|-----------------|-------|--|------------------------------------|--|----------------|---|-------|-------------------|--|---|--|--|
| (Last) | ` | =irst) | (Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) 06/18/2019 | | | | | | | | | | Offic below | er (give title v) | | Other (below) | specify | | | |
| (Street) | | ЛA | 01255 | | 4. 11 | f Ame | endment, | Date | of O | Original I | Filed | (Month/D | ay/Year | ·) | Line | e) | | | ig (Check Ap | . | | |
| (City) | | | (Zip) | | | | | | | | | | | | | Forn Pers | | ore tha | ın One Repo | orting | | |
| | | Tab | le I - Nor | n-Deriv | ative | Se | curitie | s Ac | qu | ired, I | Disp | osed (| of, or | Bene | eficial | ly Own | ed | | | | | |
| 1. Title of Security (Instr. 3) | | | | 2. Transaction Date (Month/Day/Year) | | ear) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Code (Ins | | | | | cquired (A) or)) (Instr. 3, 4 ar | | Beneficially Owned Following | | Forn (D) c | wnership n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | Code V Amount (A) or (D) Price (Instr. 3 and 4) | | | | | | | | | | (Instr. 4) | | | | | | |
| Common | Stock, \$.0 | 01 par value | | | | | | | | | | | | | | | 0 | D | | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | 3A. Deem Execution if any (Month/Da | Date, | 4. Transa Code (8) | | | | 6. Date Exercisa Expiration Date (Month/Day/Year | | | Amoun Securit Underly Derivat | | Title and mount of scurities ndderlying strivative Security estr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | | e s Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | | |
| | | | | | Code | v | (A) | | Dat Exe | te ercisable | | xpiration ate | Title | O N O | umber | | | | | | | |
| Restricted Stock Units | (1) | 06/18/2019 | | | A | | 8,169 | | 06/ | /18/2020 | | (1) | Comm | | 3,169 | \$0 | 8,169 |) | D | | | |

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Issuer common stock. Vested shares are delivered to the reporting person upon vesting.

Remarks:

Exhibit 24.1 Power of Attorney filed with Reporting Person's Form 3 on 5/8/2019.

/s/ Craig Opp, as Attorney-in-

Fact

** Signature of Reporting Person

Date

06/20/2019

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.